

**OFFICE OF THE INSPECTOR GENERAL
FISCAL YEAR 2023 BUDGET TESTIMONY**

INTRODUCTION

Good Morning, President Clarke and Members of City Council. I am Alexander DeSantis, Inspector General. I am pleased to provide testimony on the Office of the Inspector General's Fiscal Year 2023 Operating Budget.

DEPARTMENT MISSION & PLANS

Mission: The Office of the Inspector General's (OIG) goal is to keep City government free from all forms of corruption, fraud, and misconduct. The OIG conducts both criminal and administrative investigations of all departments, agencies, commissions, and boards under the Mayor's jurisdiction, as well as individuals or companies that do business with the City or receive City funding. The OIG has the power to: issue subpoenas; examine all City documents, contracts, and monetary expenditures made from the City treasury; and demand testimony from City employees. The OIG works with federal, state, and local law enforcement when reviewing issues related to criminal activity and serious cases of fraud and corruption. OIG work also relies on the support of fellow Philadelphians who report allegations of wrongdoing in City government.

Plans for Fiscal Year 2023:

To improve public confidence in City government, the OIG's overall strategy begins with education and public discourse. We take great care to educate all City employees and members of the public about the OIG's role and the model of good local government. Simultaneously, the Office is open about its case outcomes and we seek to publicize our work. These foundational steps have two desired effects: they create visible deterrents to those who may wish to engage in fraud, corruption, or misconduct; and raise awareness and give people an outlet to raise integrity-related concerns. This, in turn, drives complaint activity and generates actionable investigations. Here, the OIG focuses on building effective partnerships across local, state, and federal government, to achieve actionable case outcomes together with the City's operating departments and/or law enforcement.

In FY23, our primary new initiative will be building an Office of the Youth Ombudsperson, aimed at protecting the legal rights and wellbeing of Philadelphia youth who have been placed in residential treatment facilities or congregate care settings. This office will be focused on (i) engaging, educating and communicating with dependent youth about their experiences in congregate care settings; (ii) monitoring the City's management of the various residential treatment facilities; and (iii) collecting and publishing informative data to drive improvements in the quality of care.

In addition to staffing the Office of the Youth Ombudsperson, we will also be seeking to hire additional investigative personnel to meet the increase in traditional OIG complaint activity.

BUDGET SUMMARY & OTHER BUDGET DRIVERS

Please refer to attached FY23 Budget Hearing Summary Charts in section 1: Staff Demographics Summary, section 2: Employment Levels, section 3: Financial Summary by Class, section 6: Participation Rate and Goal.

PROPOSED BUDGET OVERVIEW

Proposed Funding Request:

The proposed Fiscal Year 2023 General Fund budget totals \$2,251,600 an increase of \$670,398 over Fiscal Year 2022 estimated obligation levels. This increase is primarily due to the planned Office of Youth Ombudsperson, noted above.

The proposed budget includes:

- \$2,148,400 in Class 100, a \$670,398 increase from FY22. This funding is for a new Ombudsperson and additional investigative staff to support both the Ombudsperson and the OIG's complaint caseload.
- \$97,975 in Class 200, level with FY22. This funding will cover the OIG's professional services contract and administrative expenses.
- \$5,225 in Class 300/400, level with FY22. This funding will assist with administrative expenses.

STAFFING LEVELS

The department is requesting 25 budgeted positions for FY23, an increase of 6 positions from FY22.

The increase is to build the Office of the Youth Ombudsperson and to support the OIG's investigative function.

NEW HIRES

Please refer to attached FY23 Budget Hearing Summary Charts in section 8: New Hires Information.

PERFORMANCE, CHALLENGES, AND INITIATIVES

Office of the Inspector General

Performance Measure	FY21 Year-End	FY22 Target	FY23 Target
Administrative Actions (number of cases) ¹	21	>30	>30
Criminal Actions (number of cases) ²	18	>10	>10
Pension disqualification (number of cases) ³	3	4-6	4-6

¹Targets are based on historical outputs over the last decade. These actions include any case that resulted in administrative discipline, like a suspension or demotion, not just those that resulted in termination.

²Targets are based on historical outputs over the last decade. “Criminal actions” include all criminal enforcement activities, such as initial charging events, convictions, and sentencing.

³Targets are based on historical outputs over the last decade. These are cases that have been submitted to the Law Department and Board of Pensions for appropriate enforcement action

Program FY23 Strategic Goals

- Increase complaint activity through additional City-wide messaging and education.
- Increase transparency via a newly designed website.
- Continue to achieve meaningful criminal and employment case results.
- Work to amend the Home Rule Charter and make the OIG a permanent and independent part of City government.
- Advocate for vulnerable children and teens in group homes and other residential facilities through the Youth Ombudsperson Office.

OTHER BUDGETARY IMPACTS

Federal and State (Where Applicable)

N/A – The OIG does not receive federal or state funding.

Office of the Inspector General

CONTRACTING EXPERIENCE

Please refer to attached FY23 Budget Hearing Summary Charts in section 4: Contracts Summary.

EMPLOYEE DATA

Please refer to attached FY23 Budget Hearing Summary Charts in section 7: Staff Demographics.

LANGUAGE ACCESS

- 1. Provide the name of your language access coordinator, the date of your last department training, and a link to the posting of your language access plan.**

Kristy Lieb, January 2017, <https://www.phila.gov/media/20161223115859/Scanned-and-Redacted-OIG-LA-Plan.pdf>

- 2. Breakdown new hires and existing staff by race and language. Breakdown how many front-line personnel are trained to provide language access services.**

At this time, the OIG has no bi-lingual staff. For any/all language access requests, OIG staff engage Language Services Associates – a 24/7 translation service.

- 3. How many requests for language access services did your department receive in the past year? How many language access services were delivered by staff? Breakdown language access services provided, by language, including but not limited to the language line, translation of public notices and documents, website language services, and advertisement/publication services.**

The OIG has no record of such a request in FY22.

- 4. Explain what your department has done to improve language access services over the past year.**

The OIG drafted and implemented an internal script for all of our staff to use when language may present a complication, including City-wide resources for translation services, if necessary.

CLIMATE CHANGE

1. How has climate change affected your department's provision of services?

Our services to the City have not changed.

2. How might worsening climate change increase costs and demands for your department?

Because the OIG is an investigative agency, our work changes when other City departments experience change. So, as the other units in City government adapt their respective operations, ours will necessarily have to accommodate those changes.

3. How does your department intend to mitigate and adapt to climate change?

We seek to keep the environmental impact of our work to a minimum – we monitor our use of hard supplies and seek to use electronic records and communications whenever possible.

1. Staff Demographics Summary

Staff Demographics Summary (as of December 2021)				
	Total	Minority	White	Female
Number of Full-Time Staff	17	8	9	8
Number of Exempt Staff	17	8	9	8
Number of Executive Staff (deputy level and above)	6	3	3	1
Average Salary, Full-Time Staff	\$78,682	\$76,953	\$79,782	\$69,397
Average Salary, Exempt Staff	\$64,988	\$65,444	\$62,039	\$66,950
Average Salary, Executive Staff	\$117,260	\$100,940	\$128,136	\$124,350
Median Salary, Full-Time Staff	\$69,300	\$69,300	\$69,300	\$69,300
Median Salary, Exempt Staff	\$69,300	\$69,300	\$64,350	\$69,300
Median Salary, Executive Staff	\$104,350	\$100,940	\$124,350	\$124,350

2. Employment Levels

Employment Levels (as of December 2021)		
	Budgeted	Filled
Number of Full-Time Positions	19	17
Number of Part-Time Positions	0	0
Number of Exempt Positions	19	17
Number of Executive Positions (deputy level and above)	6	6
Average Salary of All Full-Time Positions	\$78,682	\$78,682
Median Salary of All Full-Time Positions	\$69,300	\$69,300

3. Financial Summary by Class

Some departments may also want to provide financial summary tables for other funds, such as the Grants Fund. Departments should delete any budget lines that have \$0 in every year (i.e. if a department has no Class 500 appropriations, actuals, or proposed appropriations, the Class 500 row should be deleted).

General Fund Financial Summary by Class						
	FY21 Original Appropriations	FY21 Actual Obligations	FY22 Original Appropriations	FY22 Estimated Obligations	FY23 Proposed Appropriations	Difference: FY23-FY22
Class 100 - Employee Compensation	\$1,472,481	\$1,283,300	\$1,504,223	\$1,478,002	\$2,148,400	\$670,398
Class 200 - Purchase of Services	\$97,975	\$82,434	\$97,975	\$97,975	\$97,975	\$0
Class 300/400 - Materials, Supplies & Equipment	\$5,225	\$3,466	\$5,225	\$5,225	\$5,225	\$0
	\$1,575,681	\$1,369,200	\$1,607,423	\$1,581,202	\$2,251,600	\$670,398

4. Contracts Summary

This table focuses on large professional services contracts with for-profit vendors.

"Large" is defined as meaning that an RFP was required.

Departments should focus on contracts that have been conformed to date.

Any departments that have large contracts with non-profit providers are encouraged to provide board makeup information in the optional "Non-Profit Vendor Demographics" table below.

M/W/DSBE Participation on Large Professional Services Contracts

Top Five Largest Contracts, FY22

Vendor Name	Service Provided	Dollar Amount of Contract	RFP Issue Date	Contract Start Date	Ranges in RFP	% of M/W/DSBE Participation Achieved	\$ Value of M/W/DSBE Participation	Total % Participation - All DSBEs	Total \$ Value Participation - All DSBEs	Local Business (principal place of business located within City limits) [yes / no]	Waiver for Living Wage Compliance ? [yes / no]
HJ Sweeney	Investigative	\$75,000	8/1/2021	7/1/2021	MBE: 20	0%	\$0	0%	\$0		
					WBE: 20	0%	\$0				
					DSBE: 20	0%	\$0				

Non-Profit Vendor Demographics: N/A

5. Performance Measures Table

Please refer to the narrative of the FY23 Budget testimony.

6. Participation Rate and Goal

The Contracts Summary table is for professional services contracts only.

The Contract Participation Goal table is for all contracts (Public Works, SS&E, and Professional Services, combined).

Contracts Summary (Professional Services only)

	FY19	FY20	FY21	FY22	FY23	FY22 YTD (Q1 & Q2)
Total amount of contracts	\$71,500	\$75,000	\$75,000	\$75,000	\$75,000	\$37,500
Total amount to M/W/DSBE	\$0	\$0	\$0	\$0	\$0	\$0
Participation Rate	0%	0%	0%	0%	0%	0%

Total M/W/DSBE Contract Participation Goal (Public Works; Services, Supplies & Equipment; and Professional Services combined)

	FY21	FY22	FY23
M/W/DSBE Contract Participation Goal	0%	0%	0%

FY23 Budget Hearing Summary Charts - Office of the Inspector General

7. Staff Demographics

Totals in this table should tie out to numbers in tables 1 and 2 above.

Biracial employees should be included under "Other."

The number of employees who identify as non-binary (i.e. employees who do not identify as either female or male) should be included in the text box below the table, along with salary detail.

Staff Demographics (as of December 2021)					
Full-Time Staff			Executive Staff		
	Male	Female		Male	Female
	African-American	African-American		African-American	African-American
<i>Total</i>	5	2	<i>Total</i>	3	0
<i>% of Total</i>	29%	12%	<i>% of Total</i>	50%	0%
<i>Average Salary</i>	\$69,300	\$74,340	<i>Average Salary</i>	\$100,946	\$0
<i>Median Salary</i>	\$69,300	\$74,340	<i>Median Salary</i>	\$100,946	\$0
	White	White		White	White
<i>Total</i>	3	6	<i>Total</i>	2	1
<i>% of Total</i>	18%	35%	<i>% of Total</i>	33%	17%
<i>Average Salary</i>	\$70,350	\$56,430	<i>Average Salary</i>	\$130,030	\$124,350
<i>Median Salary</i>	\$72,270	\$49,500	<i>Median Salary</i>	\$130,030	\$124,350
	Hispanic	Hispanic		Hispanic	Hispanic
<i>Total</i>	0	0	<i>Total</i>	0	0
<i>% of Total</i>	0%	0%	<i>% of Total</i>	0%	0%
<i>Average Salary</i>	\$0	\$0	<i>Average Salary</i>	\$0	\$0
<i>Median Salary</i>	\$0	\$0	<i>Median Salary</i>	\$0	\$0
	Asian	Asian		Asian	Asian
<i>Total</i>	1	0	<i>Total</i>	0	0
<i>% of Total</i>	6%	0%	<i>% of Total</i>	0%	0%
<i>Average Salary</i>	\$49,500	\$0	<i>Average Salary</i>	\$0	\$0
<i>Median Salary</i>	\$49,500	\$0	<i>Median Salary</i>	\$0	\$0
	Other	Other		Other	Other
<i>Total</i>	0	0	<i>Total</i>	0	0
<i>% of Total</i>	0%	0%	<i>% of Total</i>	0%	0%
<i>Average Salary</i>	\$0	\$0	<i>Average Salary</i>	\$0	\$0
<i>Median Salary</i>	\$0	\$0	<i>Median Salary</i>	\$0	\$0
	Bilingual	Bilingual		Bilingual	Bilingual
<i>Total</i>	0	0	<i>Total</i>	0	0
<i>% of Total</i>	0%	0%	<i>% of Total</i>	0%	0%
<i>Average Salary</i>	\$0	\$0	<i>Average Salary</i>	\$0	\$0
<i>Median Salary</i>	\$0	\$0	<i>Median Salary</i>	\$0	\$0
	Male	Female		Male	Female
<i>Total</i>	9	8	<i>Total</i>	5	1
<i>% of Total</i>	53%	47%	<i>% of Total</i>	83%	17%
<i>Average Salary</i>	\$67,450	\$69,397	<i>Average Salary</i>	\$112,579	\$124,350
<i>Median Salary</i>	\$70,350	\$69,300	<i>Median Salary</i>	\$100,946	\$124,350

Detail for non-binary employees, if applicable: N/A

8. New Hire Information

Date range is 7/1/21 to December 2021 increment run. Detail for any hires since then can be added in the text box below the table.

New Hires (from 7/1/2021 to December 2021)		
	Total Number of New Hires	[language 1]
Black or African American	1	english
Asian		
Hispanic or Latino		
White		
Other		
Total	1	0

Detail for new hires since December 2021, if applicable: N/A